DOCUMENTS CHECKLIST - DRAWING 1

General:

Item	OK	KO	Note
Presentation within validity	☺		
Presentation within presentation period	☺		
Timely refusal?			No, but no requirements under UCP for non-confirming non-acting bank
Others			

2nd bene invoice

Item	OK	KO	Note
Type of document	☺		Tax invoice allowed - see ISBP 745 C1 (b)
Number of originals		☹	ISBP 745 A29 (d)
Number of copies	☺		
Issued by		☹	UCP 600 18 (a) (i), 14 (j)
Issued to	☺		The hyphen will not be regarded as discrepancy. Re identification of applicant as "customer" see ICC Opinion No. R826.
Description of goods		☹	Insufficient delivery terms details
Currency	☺		
Amount	☺		
Language	☺		
Others:			
L/C No.		☹	Transferring bank's L/C No. stated
Maturity	☺		ICC Opinions, e.g. Nos. R848 and TA.870
Origin detail in shipping marks		☹	Conflict with insurance document
Shipping marks generally		☹	Conflict with other documents (state "N/M")
Date of issue		☹	Issued later than presented
Packing details	☺		No conflict with other documents (cardboard cartons and paper boxes are interchangeable descriptions)

1st bene invoice

Item	OK	KO	Note
Type of document		☹	ISBP 745 C1
Number of originals		☹	ISBP 745 A29 (d)
Number of copies	☺		
Issued by	☺		ICC Opinion TA.875
Issued to		☹	UCP 600 18 (a) (i), 14 (j)
Description of goods		☹	Non-existing Incoterms
Currency	☺		ICC Opinion R827
Amount	☺		
Language	☺		
Others:			
Packing details	☺		No conflict with other documents (cardboard cartons and paper boxes are interchangeable descriptions)
Signatory	☺		No signature requirements, branch may sign for the head office, moreover, branch is within the same country
Statement on tolerance	☺		ICC Opinion TA.870
General note			The discrepancies in 1st beneficiary's invoice should be cured by the 1st beneficiary before presentation of documents to the issuing bank (see UCP 600 sub-art. 38 (i))

Insurance document

Item	OK	KO	Note
Type of document		☹	L/C requires insurance policy. Subject to UCP 600 art. 28 does not allow presentation of insurance certificate in lieu of a policy. It is the substance, not the title what matters (see "Open Cover No." and "Certif. No."). See ISBP 745 A39.
Number of originals		☹	All originals must be presented (UCP 600 28 (b))
Number of copies	☺		
Issued / signed by	☺		
Insured party		☹	No insured stated (document in fact invalid)
Endorsement		☹	No insured or claims payee stated (endorsement invalid)
Description of goods	☺		Additional details are not in conflict with description in L/C and other documents
Insured Amount		☹	Not in L/C currency - UCP 600 28 (f) (i)
Coverage: time	☺		UCP 600 28 (e), commencement of cover stated
Coverage: insured voyage	☺		
Coverage: insurance terms		☹	Terms apparently not binding upon the insurance company (text "Conditions beyond ...", "L/C wording"), SRCC clause not stated (only "strikes" mentioned)
Language	☺		Text at the bottom is pre-printed - ISBP 745 A21 (e), ICC Opinion No. TA.870
Franchise / deductible		☹	ISBP 745 K14. Although text "irrespective of percentage" appears on insurance document, it states claims to be subject to deductible.
Others:			
L/C No.	☺		Transferring bank's L/C Number stated, however, it was not required by the L/C to be stated on the insurance document. Under numerous ICC Opinions this should not be considered a discrepancy. See e.g. ICC Opinions R809 and R635
Claim settling agent		☹	Not stated. Moreover, survey agent is not a claim settling agent.
General terms "overleaf"		☹	No terms stated on reverse

Transport document

Item	OK	KO	Note
Type of document		☹	Reference to charter party. UCP 600 19 (a) (vi), ICC Opinion R647
Number of originals	☺		
Number of non-negotiable copies	☺		Any copy acceptable
Carrier identification	☺		
Signatory requirements	☺		UCP 600 19 (a) (i)
Shipper information	☺		UCP 600 14 (k), 14 (j)
Consignee information		☹	Not to order of the party stated in the L/C
Endorsement		☹	Not endorsed by the "to order" party (i.e. by shipper)
Notify party details		☹	Different address
Description of goods	☺		
Port of loading	☺		
Port of discharge		☹	Not stated
For transportation to		☹	Dallas should have been stated in "Place of Delivery" field
Shipped-on-board date		☹	Not stated
Other shipped-on-board details			Not applicable
Issuance date	☺		
Freight payment details		☹	"Ocean Freight Prepaid" instead of "Freight Prepaid" where L/C covers journey up to inland destination (see also Destination Handling and Destination Haulage collect)
Charges additional to freight		☹	See above
Standard terms of carriage	☺		UCP 600 19 (a) (v)
Clean transport document		☹	UCP 600 art. 27
Others:			
Goods may be carried on deck	☺		UCP 600 26 (a)
Regular liner vessel			The B/L refers to charter party